



IFW

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: December 6, 2004 Name: Amir N. Penn (40,767)

Signature:

BRINKS
HOFFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Yehiel GOTKIS, et al.

Appln. No.: 10/816,444

Filed: March 31, 2004

For: MULTIPLE-CONDITIONING MEMBER
DEVICE FOR CHEMICAL MECHANICAL
PLANARIZATION CONDITIONING

Examiner: Eileen P. Morgan

Art Unit: 3723

Attorney Docket No: 7103-408

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal Letter, Information Disclosure Statement (2 pages), PTO 1449 (1 page)
☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☒ A petition or processing fee in an amount of \$180.00 under 37 C.F.R. § 1.17(p).
☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | |
|---|-------------------------------------|-------|------------------------------------|------------------|--------------|-----------|----|--------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | x \$9= | | | x \$18= | |
| Indep. | | Minus | | | x 44= | | | x \$88= | |
| First Presentation of Multiple Dep. Claim | | | | | +\$150= | | | +\$300= | |
| | | | | | Total | \$ | | Total | \$ |

Fee payment:

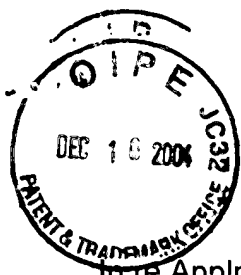
- ☒ A check in the amount of \$180.00 is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

12/6/04

Respectfully submitted,

Amir N. Penn (Reg. No. 40,767)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Yehiel GOTKIS ET AL.

Appln. No.: 10/816,444

Filed: March 31, 2004

For: MULTIPLE-CONDITIONING
MEMBER DEVICE FOR
CHEMICAL MECHANICAL
PLANARIZATION
CONDITIONING

Examiner: Eileen P. MORGAN

Art Unit: 3723

Attorney Docket No: 7103-408

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicants hereby cite the following reference(s):

U.S. PATENT DOCUMENTS

| DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | Date of Publication | Patentee/Applicant/Assignee |
|--|------------------------|-----------------------------|
| 5,571,044 | 11/5/1996 | Bolandi et al. |
| 5,803,799 | 9/8/1998 | Volodarsky et al. |
| 5,913,714 | 6/22/1999 | Volodarsky et al. |
| 6,083,082 | 7/4/2000 | Saldana |
| 6,086,460 | 7/11/2000 | Labunsky et al. |
| 6,261,168 B1 | 7/17/2001 | Jensen et al. |
| 6,315,634 B1 | 11/13/2001 | Jensen et al. |
| 6,361,414 B1 | 3/26/2002 | Ravkin et al. |
| 6,626,743 B1 | 9/30/2003 | Boyd |
| 6,645,046 B1 | 11/11/2003 | Vogtmann et al. |
| 6,645,052 B2 | 11/11/2003 | Jensen et al. |
| 6,746,313 B1 | 6/8/2004 | Pham et al. |
| 6,746,320 B2 | 6/8/2004 | Krusell et al. |
| 6,752,698 B1 | 6/22/2004 | Renteln et al. |
| 6,755,723 B1 | 6/29/2004 | Pham |
| 6,767,427 B2 | 7/27/2004 | Walters et al. |

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary,

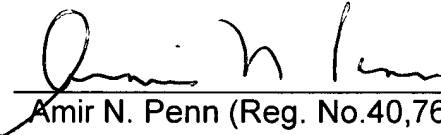
37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated a processing fee in the amount of \$180.00 to be due under 37 C.F.R. §1.17(p) in connection with the filing of this Statement. Applicants have enclosed a check covering this fee, or authorized charging the fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Statement.

Respectfully submitted,

12/6/04
Date


Amir N. Penn (Reg. No.40,767)



| | | |
|--|-------------------------------------|------------------------|
| FORM PTO-1449 | SERIAL NO. 10/816,444 | CASE NO. 7103-408 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE March 31, 2004 | GROUP ART UNIT 3723 |
| (use several sheets if necessary) | APPLICANT(S): Yehiel GOTKIS, et al. | |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|-----|--|------------|-------------------|--------------------|----------------|
| | A1 | 5,571,044 | 11/5/1996 | Bolandi et al. | | |
| | A2 | 5,803,799 | 9/8/1998 | Volodarsky et al. | | |
| | A3 | 5,913,714 | 6/22/1999 | Volodarsky et al. | | |
| | A4 | 6,083,082 | 7/4/2000 | Saldana | | |
| | A5 | 6,086,460 | 7/11/2000 | Labunsky et al. | | |
| | A6 | 6,261,168 B1 | 7/17/2001 | Jensen et al. | | |
| | A7 | 6,315,634 B1 | 11/13/2001 | Jensen et al. | | |
| | A8 | 6,361,414 B1 | 3/26/2002 | Ravkin et al. | | |
| | A9 | 6,626,743 B1 | 9/30/2003 | Boyd | | |
| | A10 | 6,645,046 B1 | 11/11/2003 | Vogtmann et al. | | |
| | A11 | 6,645,052 B2 | 11/11/2003 | Jensen et al. | | |
| | A12 | 6,746,313 B1 | 6/8/2004 | Pham et al. | | |
| | A13 | 6,746,320 B2 | 6/8/2004 | Krusell et al. | | |
| | A14 | 6,752,698 B1 | 6/22/2004 | Renteln et al. | | |
| | A15 | 6,755,723 B1 | 6/29/2004 | Pham | | |
| | A16 | 6,767,427 B2 | 7/27/2004 | Walters et al. | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---------------------|-----|--|------|---------|--------------------|--------------------------|
| | A17 | | | | | |
| | A18 | | | | | |
| | A19 | | | | | |
| | A20 | | | | | |
| | A21 | | | | | |

OTHER ART - NON PATENT LITERATURE DOCUMENTS

| EXAMINER INITIAL | (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. | |
|---------------------|--|--|
| | A22 | |
| | A23 | |
| | A24 | |
| | A25 | |
| | A26 | |
| | A27 | |

| | |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.